

DAKIN SECURITIES LIMITED
SECURITIES TRADING – SCHEDULE OF FEES AND CHARGES

Services	Charges	Remark
1. <u>HK Stock Trade</u> Commission Stamp duty Transaction levy Trading fee CCASS fee	<u>HKD</u> 0.25% of transaction amount \$1.00 per \$1,000.00 of stock value 0.003% of transaction amount 0.005% of transaction amount 0.01% of transaction amount (HK Clearing Min: \$3.00 Max: \$300.00)	<u>HKD</u> Min \$100.00
2. <u>Settlement Instruction(SI)</u> SI (for settlement only) ISI (for settlement only)	Handling fee : \$10.00 per stock plus 0.01% of stock value (based on previous day closing) Handling fee : \$10.00 per transaction	
3. <u>Withdrawal of shares</u> Physical SI ISI	Handling fee : \$60.00 per stock plus \$5.00 per board lot (Odd lot is charged as a full board lot) Handling fee : \$60.00 per stock or 0.01% of stock value (based on previous day closing) whichever is higher Handling fee : \$60.00 per stock	Min: \$60.00 Max: \$300.00
4. <u>Deposit of physical shares</u>	Transfer deed stamp duty : \$5.00 for each transfer deed (HK Government)	
5. <u>Collection of Dividends</u>	0.5% of dividend received plus \$2.50 per board lot for initial registration (Odd lot is charged as full board lot)	Min: \$30.00 Max: \$2,500.00
6. <u>Bonus shares collection</u>	Handling fee : \$30.00 plus \$2.50 per board lot (Odd lot is charged as full board lot)	
7. <u>Dividend / Bonus shares claim</u>	Handling fee : \$500.00 per stock claim	

8. <u>Exercise warrants / rights</u>	Handling fee : \$30.00 per transaction	
9. <u>Share Registration</u> Physical scrip	Handling fee : \$5.00 plus scrip fee \$2.50 per certificate	
10. <u>Corporate actions</u> Cash offer Privatisation & takeover	Scrip fee \$2.50 per board lot Handling fee : \$50.00 per stock plus corporate action fee \$1.00 per board lot Stamp duty : \$1.00 per \$1000.00 of stock value	
11. <u>IPO application</u>	Handling fee : \$100.00	
12. <u>Bounced cheque</u>	Handling fee : \$200.00 per cheque plus debit interest	
13. <u>Copies of monthly statement</u>	Handling fee : \$30.00 per month	

May 2016